



**MORRISON LAW FIRM**

Patent and Trademark Law

OFFICE OF FINANCE  
REFUND BRANCH

*HS. Reg. for Refund*  
*14-07-01*

The Morrison Building  
145 North Fifth Avenue  
2001 OCT 23 PM 8:17  
Mount Vernon, NY 10550

US PATENT & TRADEMARK  
OFFICE  
Telephone: (914) 667-6755  
Fax: (914) 667-7178

## FAX COVER SHEET

Fax Number : 703-308-5077  
To : Latrice Sims  
Of : US Patent & Trademark Refunds Division  
From : Inga Hildreth  
Re : Refund  
Our Ref : M1971-97  
Your Ref : 09/912,449  
Date : October 23, 2001

Total Number of Pages,  
Including This One : 7

Message : Dear Ms Sims:  
Enclosed is a copy of a Filing Receipt indicating the Filing Fee Rec'd in the amount of \$870. That is incorrect the fee rec'd should be \$840 since I sent a Response to Missing Parts on September 25, 2001, prior to the October 1, 2001 price increase date. A copy of the Response to Missing Parts of Application is enclosed indicating the Mail

IF YOU DO NOT RECEIVE ALL PAGES, PLEASE TELEPHONE US IMMEDIATELY AT (914) 667-6755

IMPORTANT NOTICE: The documents transmitted herewith are for the person whose name appears above. They are subject to attorney/client privilege, and are not intended for dissemination to any third person whose name is not above, or who does not have permission of the recipient to receive such information. If you have received this facsimile by mistake, please contact our office at the telephone number above and we will arrange to retrieve the fax at no expense to you. Thank you for your cooperation and courtesies.

Certified date of September 25, 2001. Also enclosed is a copy of our Deposit Account Statement indicating the charge of \$30. Please credit our Deposit Account No. 13-4550 for the amount of \$30.00. If it is at all possible please issue us a new Filing Receipt.

If you need to speak with me, you can contact me between the hours of 9:30am and 4:00pm Monday thru Friday.

Thank you for your assistance.

Inga Hildreth  
(914)667-6755



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/912,449	07/25/2001	1773	870	M1971-97	1	20	1

MORRISON LAW FIRM  
145 North Fifth Avenue  
Mt. Vernon, NY 10550

S/B \$840. —

OCT 1 2001

CONFIRMATION NO. 4449

## UPDATED FILING RECEIPT



\*OC000000006894262\*

Date Mailed: 10/12/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Youich Tei, Nagano, JAPAN;  
Syoji Sakaguchi, Nagano, JAPAN;  
Katsunori Suzuki, Nagano, JAPAN;

## Domestic Priority data as claimed by applicant

## Foreign Applications

JAPAN 2000-224644 07/25/2000

If Required, Foreign Filing License Granted 08/30/2001

Projected Publication Date: 01/31/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Method of drying thermoplastic norbornene resin, the substrate for magnetic recording media using the thermoplastic norbornene resin, the magnetic recording medium using the substrate, and the method of manufacturing the magnetic recording medium

## Preliminary Class

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulation , 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor(s) : Youich TEI et al.  
Serial No. : 09/912,449  
File Date : July 25, 2001  
Title : METHOD OF DRYING THERMOPLASTIC NORBORNENE RESIN,  
THE SUBSTRATE FOR MAGNETIC RECORDING MEDIA USING  
THE THERMOPLASTIC NORBORNENE RESIN, THE MAGNETIC  
RECORDING MEDIUM USING THE SUBSTRATE, AND  
THE METHOD OF MANUFACTURING THE MAGNETIC  
RECORDING MEDIUM

Art Unit : 1773

Examiner:

Docket No. : M1971-97

**CERTIFICATE UNDER 37 CFR 1.8(a)**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Hon. Assistant Commissioner for Patents, Box: Missing Parts, Washington D.C. 20231 on:

Date : September 25, 2001  
By : Inga Hildreth  
Signature : *Inga Hildreth*

Hon. Assistant Commissioner for Patents  
Box: Missing Parts  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts of Application dated August 31, 2001, enclosed herewith please find the following:

- \* Declaration and Power of Attorney;
- \* Copy of Notice to File Missing Parts of Nonprovisional Application;
- \* Check for \$840 to cover the large entity filing fee (\$710) and late filed Declaration surcharge (\$130).

In addition, enclosed is a copy of the filing receipt received on September 6, 2001.  
Please correct the first inventors name to read **Youich**, and also correct in the Title, 2<sup>nd</sup> line the word "magenetic" to "**magnetic**".

Please charge any deficiencies or credit any overpayments to our Deposit Account No. 13-4550.

It is submitted that the enclosed documents satisfy all outstanding filing requirements in this application. A Filing Receipt is respectfully requested.

Respectfully Submitted,



Lyman H. Smith  
Reg. No. 44,342  
Agent for Applicant

**MORRISON LAW FIRM**  
145 North Fifth Avenue  
Mount Vernon, New York 10550  
(914) 667-6755



# U.S. Patent and Trademark Office

## OFFICE OF FINANCE



### Deposit Account Statement

Requested Statement Month: October 2001  
 Deposit Account Number: 134550  
 Name: MORRISON LAW FIRM  
 Attention: MAUREEN PADUANO  
 Address: 145 NORTH FIFTH AVENUE  
 City: MOUNT VERNON  
 State: NY  
 Zip: 10550

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/03	63	09909465	M1971-98	102	\$38.00	\$2,482.00
10/03	70	09907650	M2047-18	101	\$50.00	\$2,412.00
10/04	8	09912449		105	\$30.00	\$2,382.00
10/09	84	09891102		105	\$48.00	\$2,336.00
10/11	178	09902534	M1971-93	102	\$4.00	\$2,332.00
10/12	1	09577290	M1971-85	126	\$180.00	\$2,152.00
10/15	180	09878777	M1804-8	203	\$15.00	\$2,137.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$2,500.00	\$363.00	\$ .00	\$2,137.00

*Please credit account \$30. -*

[Return to USPTO Home Page](#)

[Return to Office of Finance Home Page](#)